



Atty. Docket No. JP920000013US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: N. Tanaka et al.

Group No.:

Filed: April 19, 2001

Examiner: 2661

Serial No.: 09/838,012

For: DATA PROCESSING SYSTEM AND  
MULTIPROCESSOR SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the following **attached** correspondence comprising:

Response to File Missing Parts of Application - Filing Date Granted  
Copy of Notice to File Missing Parts of Nonprovisional Application  
Assignment and Assignment Recordation Sheet  
Declaration and Power of Attorney  
Self-addressed Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

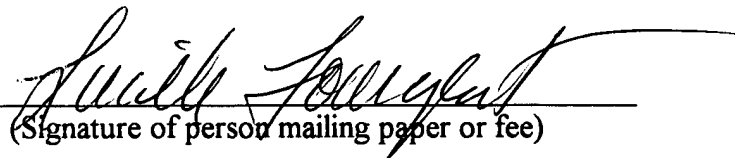
**Commissioner for Patents and Trademarks**

**Washington, D.C. 20231**

on August 16, 2001.

\_\_\_\_\_  
Lucille Fourgeot

(Name of person mailing paper or fee)

  
\_\_\_\_\_  
(Signature of person mailing paper or fee)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: N. Tanaka et al.

Date: August 16, 2001

Serial No.: 09/838,012

Group Art Unit: 2661

Filed: April 19, 2001

Box Missing Parts

For: DATA PROCESSING SYSTEM AND  
MULTIPROCESSOR SYSTEM

**NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED**

To the Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application - filing date granted, mailed June 19, 2001, copy attached, enclosed for filing is a Declaration and Power of Attorney signed by the inventors for the above-identified patent application.

Also attached for filing is an Assignment of the invention to the International Business Machines Corporation for the above-identified patent application.

The commissioner is hereby authorized to charge Deposit Account 09-0469 \$170.00 in payment of the following fees associated with this communication, including any readjustment thereof. A duplicate copy of this sheet is enclosed.


**\$130.00 SURCHARGE FEE FOR FILING MISSING PARTS**

**40.00 FEE FOR FILING ASSIGNMENT**

**\$170.00 TOTAL**

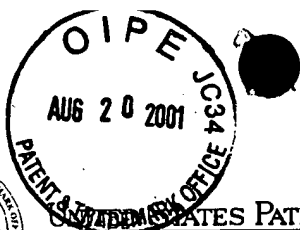
Respectfully submitted,

by

  
Douglas W. Cameron  
Attorney for Applicants  
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P. O. Box 218 - 39-254  
Yorktown Heights, N.Y. 10598

JP920000013US1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,012	04/19/2001	Nobuyoshi Tanaka	JP920000013US1

CONFIRMATION NO. 3718

## FORMALITIES LETTER



\*OC000000006198109\*

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 06/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

08/22/2001 MBELETE1 00000046 090469 09838012

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Customer Service Center

- Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE